

## **CHAPTER I.**

**Article 1.** Pursuant to the relevant laws, rules, and regulations of China and  
**Company**

**ZTT**

**Applicable Laws**

### **ZTT Compliance Rules**

implementation plan and staff requirements under the compliance management system, and taking into account the business environments in which the Company operates, the Company hereby formulate this Rules to regulate the Assessment of compliance risk related to the business activities of the Company.

**Article 2.**

V



**CHAPTER III.**

**Article 7.**

*Compliance Risk Assessment Flow Chart*

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*Compliance Risk Assessment Questionnaire*

**Article 10.**

**Article 11.**

**Article 12.**

**Article 13.**

**Article 14.**

*Assessment Questionnaire Risk Assessment Form*

*Risk*

## **CHAPTER IV.**

**Article 15.**

**Article 16.**

**Article 17.**

in its departments, and it has the ultimate right to instruct and interpret the



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